



**AN BINH SECURITIES
JOINT STOCK COMPANY**

No. 69/NQ-HĐQT2026

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom - Happiness

Ha Noi, September 07, 2026

RESOLUTION OF THE BOARD OF DIRECTORS

***(Re: Approval of the List of Employees Eligible to Purchase Shares Issued under the
Employee Stock Ownership Plan)***

BOARD OF DIRECTORS

AN BINH SECURITIES JOINT STOCK COMPANY

- Pursuant to the Law on Enterprises dated June 17, 2020, as amended and supplemented on January 11, 2022 and June 17, 2025 (the “Law on Enterprises”), and its implementing regulations;
- Pursuant to the Law on Securities dated November 26, 2019, as amended and supplemented on November 29, 2024 (the “Law on Securities”), and its implementing regulations;
- Pursuant to the Charter of An Binh Securities Joint Stock Company;
- Pursuant to Resolution No. 17/NQ-ĐHĐCĐ2026 dated March 18, 2026 of the General Meeting of Shareholders of An Binh Securities Joint Stock Company;
- Pursuant to the Minutes of the meeting of the Board of Directors No. 66/BB-HĐQT2026 dated September 07, 2026.

RESOLVES:

Article 1: To approve the List of Employees Eligible to Purchase Shares Issued under the Employee Stock Ownership Plan in accordance with Section 3, Article 1 of Resolution No. 17/NQ-ĐHĐCĐ2026 dated March 18, 2026.

Article 2: Implementation

This Resolution shall take effect from the date of signing.

Members of the Board of Directors, the Board of Management, the Chief Accountant and relevant functional departments shall be responsible for implementing this Resolution./.

ON BEHALF OF BOD

CHAIRMAN

Recipients:

- As stated in Article 2;
- SSC; HNX;
- Filed: Administrative Office.

(signed)

Trần Việt Dũng



LIST OF EMPLOYEES ELIGIBLE TO PURCHASE SHARES ISSUED

UNDER THE EMPLOYEE STOCK OWNERSHIP PLAN

(Issued together with Resolution No. 69/NQ-HDQT2026 of the Board of Directors dated September 07, 2026)

No.	Employee ID	Full name	Position /Grade	Level 1 Unit	Level 2 Unit	Number of Allocated Shares
1		Tran Viet Dung	Chairman of the Board of Directors	Board of Directors	Board of Directors	191,083
2		Tran Kim Khanh	Member of the Board of Directors	Board of Directors	Board of Directors	95,541
3		Nguyen Quang Dat	Member of the Board of Directors	Board of Directors	Board of Directors	95,541
4		Vu Thi Hai Nga	Member of the Board of Directors	Board of Directors	Board of Directors	95,541
5		Pham Thi Bich Ngoc	Head of the Supervisory Board	Supervisory Board	Supervisory Board	127,389
6		Nguyen Thi Duu	Member of the Supervisory Board	Supervisory Board	Supervisory Board	95,541
7		Dao Thi Cam	Member of the Supervisory Board	Supervisory Board	Supervisory Board	95,541
8	AB0034	Le Thanh Xuan	Division Director	Securities Services Operations Division	Securities Services Operations Division	152,866
9	AB0099	Tran Thi Thu Ha	Department Director	Depository Department	Securities Services Operations Division	76,433
10	AB0123	Bui Thi Nguyet	Senior Specialist	Internal Control Department	Risk Management and Compliance Division	19,108
11	AB0130	Ta Thi Hong Ha	Deputy Division Director	Risk Management and Compliance Division	Risk Management and Compliance Division	101,911
12	AB0146	Dao Thi Hoai Giang	Branch Director	Hai Phong Branch	Securities Business Division	15,924
13	AB0147	Nguyen Thi My Huong	Consultant Grade 2	Hai Phong Branch – Brokerage Department	Securities Business Division	76,433
14	AB0171	Ta Quang Hien	Brokerage Department Manager Grade 3	Hanoi Brokerage Center	Securities Business Division	15,924
15	AB0175	Pham Xuan Vinh	Driver	Internal Services Unit	Human Resources and Internal Services Department	12,739

16	AB0193	Nguyen Hai Anh	Senior Grade 2	Expert	Hanoi Brokerage Center - Brokerage Department 6	Securities Division	Business Services	12,739
17	AB0198	Phan Hong Van	Department Director		Bond Operations Department	Securities Operations Division		76,433
18	AB0206	Le Minh Quy	Driver		Internal Services Unit	Human Resources and Internal Services Department		12,739
19	AB0240	Vu Hai Linh	Senior Grade 1	Expert	Hanoi Branch - Brokerage Department 9	Securities Division	Business	19,108
20	AB0274	Duong Dang Huynh	Deputy Division Director		Information Technology Division	Information Technology Division		50,955
21	AB0277	Ngo Thi Diem Hang	Department Director		Credit Management Department	Securities Operations Division	Services	76,433
22	AB0293	Nguyen Phuong Nga	Brokerage Department Manager Grade 2		Hanoi Branch - Brokerage Department 9	Securities Division	Business	50,955
23	AB0300	Pham Ngoc Canh	Senior Grade 2	Expert	Hanoi Brokerage Center - Brokerage Department 3	Securities Division	Business	25,478
24	AB0350	Tran Van Tuan	Consultant Grade 2		Hai Phong Branch - Brokerage Department	Securities Division	Business	19,108
25	AB0363	Lê Thị Na	Senior Grade 1	Expert	Vung Tau Branch	Securities Division	Business	57,325
26	AB0364	Nguyen Thi Cam Ni	Operations Specialist		Multi-purpose Department	Securities Operations Division	Services	19,108
27	AB0377	Le Tien Dung	Consultant Grade 1		Hai Phong Branch - Brokerage Department	Securities Division	Business	9,554
28	AB0409	Trinh Thi Nguyet Nga	Operations Specialist		Multi-purpose Department	Securities Operations Division	Services	9,554
29	AB0449	Nguyen Duc Phu	Deputy Department Director		IT Infrastructure and Services Department	Information Technology Division		63,694
30	AB0456	Hoa Huong Ly	Team Leader		Internal Services Unit	Human Resources and Internal Services Department		25,478
31	AB0458	Nguyen Thi Hoang Nguyet	Consultant Grade 2		Da Nang Branch - Brokerage Department	Securities Division	Business	38,217
32	AB0463	Nguyen Thi Thanh	Department Director		Multi-purpose Department	Securities Operations Division	Services	76,433
33	AB0465	Dao Thi Kim Anh	Senior Specialist		Multi-purpose Department	Securities Operations Division	Services	19,108

34	AB0479	Nguyen Trung Kien	Senior Specialist	IT Infrastructure and Services Department	Information Technology Division	19,108
35	AB0485	Tran Duy Ngoc	Branch Director	Da Nang Branch	Securities Business Division	15,924
36	AB0504	Tran Nam Son	Division Director	Risk Management and Compliance Division	Risk Management and Compliance Division	50,955
37	AB0515	Dang Anh Tuan	Senior Expert Grade 2	Hanoi Brokerage Center - Brokerage Department 2	Securities Business Division	12,739
38	AB0533	Duong Ngoc Tu	Team Leader	PR & Marketing Department	PR & Marketing Department	76,433
39	AB0546	Le Thi Kim Chi	Operations Staff	Multi-purpose Services Department	Securities Services Operations Division	6,369
40	AB0559	Do Tien Dung	Specialist Grade 1	Technology Development and Integration Department	Information Technology Division	19,108
41	AB0573	Duong Thi Thuy Duyen	Senior Specialist	Depository Department	Securities Services Operations Division	19,108
42	AB0588	Truong Thi Mai Huong	Specialist Grade 1	Accounting Unit	Finance and Accounting Department	19,108
43	AB0590	Nguyen Thi Ngoc	Specialist Grade 1	Depository Department	Securities Services Operations Division	19,108
44	AB0591	Dang Quoc Tu	Deputy Department Director	Investment Banking Services Department	Investment Banking Division	31,847
45	AB0603	Do Mai Thu Huong	Operations Department Manager	Multi-purpose Services Department	Securities Services Operations Division	25,478
46	AB0608	Hoang Gia Nam	Branch Director	Ho Chi Minh Branch	Securities Business Division	15,924
47	AB0611	Nguyen Hai Dang	Business Center Director Grade 1	Hoang Cau Trading Floor - Brokerage Department	Securities Business Division	15,924
48	AB0615	Lam Khoi Nguyen	Brokerage Department Manager Grade 1	Ho Chi Minh Branch - Brokerage Department 6	Securities Business Division	12,739
49	AB0621	Kieu Thu Huong	Operations Staff	Multi-purpose Services Department	Securities Services Operations Division	6,369
50	AB0633	Vu Quang Minh	Consultant Grade 1	Ho Chi Minh Branch - Brokerage Department 6	Securities Business Division	19,108
51	AB0640	Ngo Duc Sinh	Consultant Grade 1	Hoang Cau Trading Floor - Brokerage Department	Securities Business Division	6,369
52	AB0653	Nguyen Anh Tuyet	Consultant Grade 2	Ho Chi Minh Branch - Brokerage	Securities Business	19,108

				Department 6	Division	
53	AB0655	Nguyen Thanh Thao	Senior Specialist	Multi-purpose Department Services	Securities Services Operations Division	57,325
54	AB0665	Kim Thi Hue	Senior Specialist	Depository Department	Securities Services Operations Division	19,108
55	AB0667	Truong Thi Ngoc Khanh	Specialist Grade 1	Multi-purpose Department Services	Securities Services Operations Division	19,108
56	AB0670	Nguyen Dieu Anh	Specialist Grade 1	Bond Operations Department	Securities Services Operations Division	19,108
57	AB0674	Le Thi Thu Hien	Chief Accountant	Finance and Accounting Department	Finance and Accounting Department	152,866
58	AB0685	Dang Hai Chung	Deputy General Director	Board of Management	Board of Management	191,083
59	AB0687	Mai Thu Phuong	Senior Specialist	Human Resources Unit	Human Resources and Internal Services Department	19,108
60	AB0689	Nguyen Thi Quynh	Specialist Grade 1	Credit Management Department	Securities Services Operations Division	19,108
61	AB0691	Kieu Thuy Linh	Specialist Grade 1	Credit Management Department	Securities Services Operations Division	19,108
62	AB0692	Duong Ngo Tuan	Consultant Grade 3	Hanoi Branch – Brokerage Department 9	Securities Business Division	19,108
63	AB0693	Tran Thi Thu Huong	Senior Specialist	Credit Management Department	Securities Services Operations Division	19,108
64	AB0699	Le Thi Minh Thuy	Operations Team Leader	Multi-purpose Services Department	Securities Services Operations Division	25,478
65	AB0706	Vu Thu Hong	Team Leader	Human Resources Unit	Human Resources and Internal Services Department	25,478
66	AB0709	Nguyen Dang Huy	Specialist Grade 1	Bond Operations Department	Securities Services Operations Division	19,108
67	AB0711	Nguyen Nhat Huy	Specialist Grade 1	IT Infrastructure and Services Department	Information Technology Division	19,108
68	AB0723	Ngo Thuy Linh	Team Leader	Bond Operations Department	Securities Services Operations Division	25,478
69	AB0731	Nguyen Vu Minh Tra	Deputy Department Manager	Capital and Investment Department	Capital and Investment Department	63,694
70	AB0733	Nguyen Hong Anh	Specialist Grade 1	Business Management and Development Department	Securities Business Division	19,108

71	AB0735	Nguyen Hoai Thanh Tung	Brokerage Department Manager Grade 2	Thang Long Business Development Center – Thang Long Brokerage Department 1	Securities Business Division	25,478
72	AB0742	Nguyen Kim Anh	Operations Staff	Multi-purpose Department Services	Securities Services Operations Division	12,739
73	AB0748	Nguyen Van Tien	Expert	Technology Development and Integration Department	Information Technology Division	25,478
74	AB0763	Nguyen Thi Hanh	Specialist Grade 1	Multi-purpose Department Services	Securities Services Operations Division	19,108
75	AB0784	Tran Thi Thu Phuong	Senior Specialist	Accounting Unit	Finance and Accounting Department	19,108
76	AB0789	Dao Minh Quang	Specialist Grade 1	Legal Department	Risk Management and Compliance Division	38,217
77	AB0800	Le Thi Kim Hue	Deputy Director of Fundamental Analysis	Investment Analysis Department	Investment Banking Division	63,694
78	AB0802	Nguyen Thi Thuy Linh	Department Director	Investment Analysis Department	Investment Banking Division	76,433
79	AB0803	Dang Xuan Luu	Team Leader	Investment Analysis Department	Investment Banking Division	25,478
80	AB0805	Do Thanh Trung	Business Center Director Grade 1	Thang Long Business Development Center	Securities Business Division	31,847
81	AB0806	Nguyen Huu Thuong	Specialist Grade 1	Internal Control Department	Risk Management and Compliance Division	19,108
82	AB0807	Nguyen Hoang Nam	Consultant Grade 1	Thang Long Business Development Center – Thang Long Brokerage Department 1	Securities Business Division	19,108
83	AB0812	Nguyen Thi Ngoc Anh	Staff	Capital and Investment Department	Capital and Investment Department	12,739
84	AB0815	Phan Van Truong	Senior Specialist	Technology Development and Integration Department	Information Technology Division	19,108
85	AB0820	Dam Tuan Minh	Specialist Grade 1	Business Management and Development Department	Securities Business Division	19,108
86	AB0825	Bui Minh Anh	Specialist Grade 1	Investment Analysis Department	Investment Banking Division	19,108
87	AB0829	Trinh Thuy Nga	Senior Specialist	Technology Development and Integration Department	Information Technology Division	19,108
88	AB0830	Dang Nhu Quynh Vy	Brokerage Department	Thang Long Business Development Center – Da Nang	Securities Business Division	12,739

			Manager Grade 1	Transaction Office		
89	AB0832	Tran Quoc Thang	Branch Director	Thai Binh Branch	Securities Division	Business
90	AB0833	Vu Thi Thu Phuong	Broker Level 1	Thai Binh Branch	Securities Division	Business
91	AB0834	Ngo Thi Hong Len	Broker Level 1	Thai Binh Branch	Securities Division	Business
92	AB0839	Ho Le Khanh Uyen	Consultant Grade 1	Thang Long Business Development Center – Da Nang Transaction Office	Securities Division	Business
93	AB0840	Nguyen Thi Mai	Senior Specialist	Quality Assurance Department	Information Technology Division	
94	AB0841	Le Duy Hung	Expert	IT Infrastructure and Services Department	Information Technology Division	
95	AB0842	Nguyen Huyen Anh	Specialist Grade 1	Digital Platform Department	Product Development and Digital Platform Division	
96	AB0846	Nguyen Thi Ky Duyen	Specialist Grade 1	Investment Analysis Department	Investment Division	Banking
97	AB0851	Nguyen Ngoc Due	Department Director	Human Resources and Internal Services Department	Human Resources and Internal Services Department	
98	AB0852	Do Hung Anh	Consultant Grade 1	Thang Long Business Development Center – Thang Long Brokerage Department 1	Securities Division	Business
99	AB0853	Nguyen Van Tinh	Consultant Grade 2	Thang Long Business Development Center – Thang Long Brokerage Department 2	Securities Division	Business
100	AB0854	Kieu Thi Thanh Thu	Specialist Grade 1	Investment Analysis Department	Investment Division	Banking
101	AB0856	Hoang Thi Mai	Senior Specialist	Quality Assurance Department	Information Technology Division	
102	AB0861	Tran Trung Dong	Department Director	PR & Marketing Department	PR & Marketing Department	
103	AB0862	Nguyen Tu Quynh	Expert	PR & Marketing Department	PR & Marketing Department	
104	AB0865	Nguyen Hong Quan	Specialist Grade 1	Technology Development and Integration Department	Information Technology Division	
105	AB0871	Do Thi Hai Yen	Expert	Accounting Unit	Finance and Accounting Department	

106	AB0872	Ta Thi Phuong	Consultant Grade 1	Thang Long Business Development Center – Da Nang Transaction Office	Securities Division	Business	19,108
107	AB0875	Dao Van Tuan	Brokerage Department Manager Grade 1	Thang Long Business Development Center – Thang Long Brokerage Department 2	Securities Division	Business	12,739
108	AB0876	Ta Quang Thang	Staff	Human Resources Unit	Human Resources and Internal Services Department		38,217
109	AB0877	Pham Thi Hong Men	Broker Level 1	Thai Binh Branch	Securities Division	Business	6,369
110	AB0878	Trinh Thi Thuy Dung	Staff	Internal Services Unit	Human Resources and Internal Services Department		12,739
111	AB0879	Le Ngoc Su	Brokerage Department Manager Grade 1	Thang Long Business Development Center – Thang Long Brokerage Department 3	Securities Division	Business	25,478
112	AB0881	Nguyen Viet Trung	Senior Expert Grade 1	Thang Long Business Development Center – Thang Long Brokerage Department 2	Securities Division	Business	12,739
113	AB0882	Doan Hai Duy	Consultant Grade 1	Da Nang Branch – Brokerage Department	Securities Division	Business	6,369
114	AB0883	Nguyen Thi Bich Diep	Specialist Grade 1	Quality Assurance Department	Information Technology Division		9,554
115	AB0884	Le Bui Minh Cuong	Consultant Grade 1	Ho Chi Minh Branch – Brokerage Department 1	Securities Division	Business	57,325
116	AB0885	Nguyen Thi Thu Thuy	Specialist Grade 1	Quality Assurance Department	Information Technology Division		19,108
117	AB0886	Chu Nguyen Khanh Van	Staff	PR & Marketing Department	PR & Marketing Department		12,739
118	AB0887	Tong Thi Mung	Operations Staff	Bac Ninh Branch	Securities Division	Business	6,369
119	AB0888	Tran Thi Huong	Operations Staff	Bac Ninh Branch	Securities Division	Business	6,369
120	AB0889	Nguyen Thuy Duong	Specialist Grade 1	PR & Marketing Department	PR & Marketing Department		19,108
121	AB0890	Pham Phuc Long	Specialist Grade 1	Technology Development and Integration Department	Information Technology Division		19,108
122	AB0900	Luu Ngoc Anh	Consultant Grade 1	Thang Long Business	Securities Division	Business	9,554

				Development Center – Thang Long Brokerage Department 3	Division Capital and Investment Department	
123	AB0901	Dinh Thi Bich Thuy	Specialist Grade 1	Capital and Investment Department	Capital and Investment Department	9,554
124	AB0902	Vu Thai Trung	Senior Specialist	Technology Development and Integration Department	Information Technology Division	9,554
125	AB0904	Nguyen Quang Dat	General Director	Board of Management	Board of Management	254,777
126	AB0906	Nguyen Thi Cam Nhung	Consultant Grade 1	Thang Long Business Development Center – Thang Long Brokerage Department 3	Securities Business Division	9,554
127	AB0908	Nguyen Trong Minh	Department Director	Investment Banking Services Department	Investment Banking Division	19,108
128	AB0912	Tran Minh Quang	Staff	Proprietary Trading Department	Investment Banking Division	6,369
129	AB0913	Bui Thuy Duong	Staff	Bond Operations Department	Securities Services Operations Division	6,369
130	AB0917	Vu Thi Thanh Ha	Department Director	Business Management and Development Department	Securities Business Division	19,108
131	AB0918	Nguyen Duc Duan	Specialist Grade 1	Proprietary Trading Department	Investment Banking Division	9,554
132	AB0919	Nguyen Van Tuyen	Expert	Product Development Department	Product Development and Digital Platform Division	12,739
133	AB0921	Phung Van Hieu	Specialist Grade 1	PR & Marketing Department	PR & Marketing Department	9,554
134	AB0923	Nguyen Truong Long	Head of Customer Development Department	Hanoi Branch – EniCap 1 Brokerage Department	Securities Business Division	12,739
135	AB0924	Le Quynh Phuong	Specialist Grade 1	Quality Assurance Department	Information Technology Division	9,554
136	AB0925	Nguyen Van Thong	Senior Expert Grade 1	Thang Long Business Development Center – Thang Long Brokerage Department 1	Securities Business Division	9,554
137	AB0927	Nguyen Duc Thanh	Specialist Grade 1	Technology Development and Integration Department	Information Technology Division	9,554
138	AB0928	Tran Thuy Duong	Specialist Grade 1	Business Management and Development Department	Securities Business Division	9,554
139	AB0929	Do Ngoc Linh	Consultant Grade 2	Hanoi Branch – EniCap 1 Brokerage Department	Securities Business Division	6,369
140	AB0931	Nguyen The Minh	Division Director	Investment Banking Division	Investment Banking Division	38,217

141	AB0932	Dang Le Minh Nhat	Center Director	Ho Chi Minh Branch – Ho Chi Minh Brokerage Center 1	Division Securities Division	Business		15,924	
142	AB0933	Vo Hong Khanh Vy	Consultant Grade 2	Ho Chi Minh Branch – Ho Chi Minh Brokerage Center 1 – Brokerage Department 1	Securities Division	Business		6,369	
143	AB0934	Bui Quang Hung	Senior Expert Grade 1	Hoang Cau Trading Floor – Brokerage Department	Securities Division	Business		6,369	
144	AB0936	Hoang Thi Phuong Anh	Staff	Depository Department	Securities Operations Division	Services		6,369	
145	AB0938	Nguyen Anh Nhat	Consultant Grade 1	Hanoi Branch – EniCap 1 Brokerage Department	Securities Division	Business		6,369	
146	AB0940	Nguyen Tien Dung	Department Director	Product Development Department	Product Development and Digital Platform Division	Product Development and Digital Platform Division		19,108	
147	AB0941	Do Thuy Dung	Senior Specialist	Digital Platform Department	Product Development and Digital Platform Division	Product Development and Digital Platform Division		9,554	
148	AB0942	Nguyen Thi Phuong Anh	Specialist Grade 1	Legal Department	Risk Management and Compliance Division	Risk Management and Compliance Division		9,554	
149	AB0943	Do Thi Hai Uyen	Brokerage Department Manager Grade 1	Viet An Business Development Center – Viet An Brokerage Department 6	Securities Division	Business		12,739	
150	AB0944	Duong Quang Phuong	Consultant Grade 1	Ho Chi Minh Branch – Ho Chi Minh Brokerage Center 1 – Brokerage Department 1	Securities Division	Business		6,369	
151	AB0945	Le Thi Sinh	Staff	Internal Services Unit	Human Resources and Internal Services Department	Human Resources and Internal Services		6,369	
152	AB0946	Nguyen Nam Hung	Consultant Grade 1	Hoang Cau Trading Floor – Brokerage Department	Securities Division	Business		6,369	
153	AB0947	Ha Tuong Vy	Consultant Grade 1	Thang Long Business Development Center – Thang Long Brokerage Department 5	Securities Division	Business		6,369	
154	AB0948	Do Quoc An	Consultant Grade 3	Thang Long Business Development Center – Thang Long Brokerage Department 5	Securities Division	Business		6,369	
155	AB0949	Do Thi Hai Yen	Consultant Grade 3	Viet An Business Development Center – Viet An Brokerage Department 6	Securities Division	Business		6,369	
156	AB0950	Tran Thai Duong	Brokerage	Thang Long Business Development Center – Viet An Brokerage Department 6	Securities Division	Business		12,739	

			Department Manager Grade 1	Development Center – Thang Long Brokerage Department 5	Division	
157	AB0951	Luu Le Minh	Consultant Grade 1	Thang Long Business Development Center – Thang Long Brokerage Department 5	Securities Business Division	6,369
158	AB0952	Tran Quoc Thien	Brokerage Department Manager Grade 1	Ho Chi Minh Branch – Ho Chi Minh Brokerage Center 1 – Brokerage Department 1	Securities Business Division	12,739
159	AB0954	Tran My Hoa	Specialist Grade 1	Accounting Unit	Finance and Accounting Department	9,554
160	AB0955	Nguyen Van Anh	Expert	Digital Platform Department	Product Development and Digital Platform Division	12,739
161	AB0957	Dam Hoang Phuong Anh	Consultant Grade 1	Thang Long Business Development Center – Thang Long Brokerage Department 6	Securities Business Division	6,369
162	AB0960	Le Hoang Nhat Linh	Specialist Grade 1	Digital Platform Department	Product Development and Digital Platform Division	9,554
163	AB0961	Vo Thi Thuy Quynh	Consultant Grade 1	Ho Chi Minh Branch – Ho Chi Minh Brokerage Center 1 – Brokerage Department 1	Securities Business Division	6,369
		TOTAL				4,999,981